

# Applications of Random Matrices to the structure of vNa's, 1 - Bounded entropy

## Review of Hartglass'

talks:

A **tracial vNa** is a pair  $(M, \tau)$  where  $M$  is a vNa &

$\tau: M \rightarrow \mathbb{C}$  is linear &

- a state,  $\tau(x^*x) \geq 0$  &  $\tau(1) = 1 \quad \forall x \in M$
- faithful,  $\tau(x^*x) = 0 \iff x = 0$ .
- normal,  $\tau(\sum_{i=1}^n x_i x_i^*)$  is  $\tau$ -cont.
- tracial,  $\tau(xy) = \tau(yx) \quad \forall x, y \in M$ .

Ex:  $(L^\infty(X, \mu), \int \cdot d\mu)$ ,

•  $(M_n(\mathbb{C}), \text{tr})$

$$\text{tr}(A) = \frac{1}{n} \sum_{j=1}^n A_{j,j}$$

•  $(L(G), \tau)$

$$L(G) = \overline{\text{Span}}^{\text{set}} \{ \lambda(g) : g \in G \}$$

$\lambda: G \rightarrow$

rep'n given by  $U(G)$  (left regular rep'n)  $(\lambda(x)\lambda(y)) = \lambda(x \cdot y)$

$(\lambda = \langle \delta_x, \delta_y, \delta_e \rangle)$ .

Tracial vNa's are **noncommutative probability spaces**.

For  $r \in \mathbb{N}$ , let  $\mathcal{P}(r)$  be all NC polys in  $r$  variables.

For  $(M, \tau)$  tracial vNa

&  $x \in M_{s.a.}$ , let

For  $(M, \tau)$  tracial  $\cup N_a$   
 $\& x \in M_{s.a.}^d$ , let  
 $\omega_x: (\mathbb{C}\langle t_1, \dots, t_r \rangle) \rightarrow \mathbb{C}$   
 be unique homom. s.t.  
 $\omega_x(t_j) = x_j$ , set  $\rho(x) = \omega_x(P)$ .  
 Give  $(\mathbb{C}\langle t_1, \dots, t_r \rangle)$  unique  
 $\ast$ -structure s.t.  $t_j^* = t_j$ .  
 Then  $\omega_x$  is a  $\ast$ -homom.  
 Define  $h_x = \omega_x \circ \tau$ , call  
 $h_x$  the law of  $x$ .

Thm (Voiculescu's Asymptotic Freeness Thm)

Thm). Let  $X \in M_n$   
 $e_i$  independent GUE  
 matrices. Then, with high  
 probability,  $h_x \rightarrow h_s$   
 $s = (s_1, \dots, s_r)$  are freely  
 independent semicirculars.

For

$$\sum_{d \in \mathbb{R}} = \{ h_x : x \in M_{s.a.}^d \}$$

where  $x \in M_{s.a.}^d$  ranging  
 over all  $(M, \tau)$  tracial  
 $\cup N_a$  &  $x \in M_{s.a.}^d$

Prop: Given  $h: (\mathbb{C}\langle t_1, \dots, t_r \rangle) \rightarrow \mathbb{C}$   
 linear have  $h \in \sum_{d \in \mathbb{R}} \Leftrightarrow$

- $h(P) \geq 0$ ,  $h(1) = 1$ ,
- $h(PQ) = h(QP) \forall Q, P$

- $\chi(\mathbb{R}^n) = 0, \chi(\mathbb{R}) = 1$
- $\chi(PQ) = \chi(QP) \forall Q, P$
- $\chi(\{t_{i_1}, \dots, t_{i_s}\}) \leq \mathbb{R}^s \forall i_1, \dots, i_s \in \{1, \dots, d\}$

Pf of  $\Leftarrow$  is GNS

### Free Entropy Dimension Theory:

Measure "how many" approximations  $(M, \tau)$  has and it deduce many structural results if there are many (eg. if  $M = L(F_r)$ )

For  $x \in M_{s.a.}^d$  &  $\mathcal{O}$  a  $w^*$ -subalgebra of  $Lx$  define

$$\Gamma^{(n)}(\mathcal{O}) = \{A \in M_n(\mathbb{C})_{s.a.}^d : \exists A_j \in \mathcal{O} \text{ & } \|A_j\| \leq \|x_j\| \forall j=1, \dots, d\}$$

For  $x \in M_{s.a.}^d$   $\forall$  unless defined  $\delta_0(x)$ .

Say  $M$  is *diffuse* if

$$\begin{aligned} &\forall p \in M \text{ proj } p \neq 0 \\ &\Rightarrow \exists q \in M \text{ proj } q \neq 0, q \leq p \\ &\quad q \neq p. \end{aligned}$$

Exercise:  $L^\infty(X, \mu)$  is diffuse

$\Leftrightarrow (X, \mu)$  atomless.

Exercise\*:  $L(G)$  is diffuse

if  $G$  is infinite.

$\forall$  unless shown  $\delta_0(x) \geq 1$

if  $W^*(x)$  is diffuse.

•  $\delta_0(x) = d$  if  $x = (x_1, \dots, x_d)$

- $\delta_0(X) = d$  if  $X = (x_i)_{i \geq 1}$  are freely ind.
- $\delta_0(X) > 1 \Leftrightarrow$  interesting structural constraints.

(?); Unknown if  $w^*(X) = w^*(Y) \Rightarrow \delta_0(X) = \delta_0(Y)$ .

Implicit in work of Jung & explicit in H. is the  $\mathbb{Z}$ -banded entropy  $h(X)$  a modification of  $\delta_0(X)$ .  $w^*(X) = w^*(Y) \Rightarrow h(X) = h(Y)$ . So can define  $h(M)$  s.t.  $h(X) = h(M)$  if  $w^*(X) = M$ .

Properties:  $h(M) \in \mathbb{Z} \cup \{\infty\}$ .

$h(M) \geq 0 \Leftrightarrow \forall x \in M_{s.i.a.}^d$   
 $\exists \theta$   $w^*$ -label of  $lx$   
 $\Gamma(M) \neq \emptyset \forall N \geq 0$ .

•  $h(M) = 0$  if  $M$  is diffuse & amenable (e.g.  $M$  is abelian or  $M = L(G)$   $G$  amenable).

•  $h(M) = \infty$  if  $M = w^*(X)$  &  $\delta_0(X) > 1$ . E.g.

$M = L(\mathbb{F}_r)$ ,  $r \geq 2$  or

$M = M_1 * M_2$   $M_j$  diffuse &  $h(M_j) \geq 0$ .



$$h(W^*(N_{\mu}(N))) \leq h(N)$$

$$N_{\mu}(N) = \{u \in \mathcal{U}(N) : u \mu u^* = N\}$$

$\forall N \subseteq M$ . diffuse.

$$h(M_1 \vee M_2) \leq h(M_1) + h(M_2), \text{ if } M_1, M_2 \text{ is diffuse}$$

Say  $N \subseteq M$  is *regular*  
if  $W^*(N_{\mu}(N)) = M$ .

Cor ( $\mathcal{U}$ : unex)  $L(\mathbb{F}_r)$ ,  $r \geq 2$   
have any diffuse, abelian  
subalgebras.

Cor (Gc) For  $r \geq 2$ ,  
 $L(\mathbb{F}_r) \neq W^*(M_1, \dots, M_n)$  if  
 $M_j \subseteq L(\mathbb{F}_r)$  are diffuse  
& commute.

Pf:

Exercise:  $M_j$  diffuse  
 $\Rightarrow \exists A_j \subseteq M_j$   
abelian & diffuse.

Then

$$M_1 \vee A_2 \subseteq W^*(N_{\mu}(A_1))$$

$$\& A_1 \vee M_2 \subseteq W^*(N_{\mu}(A_2))$$

$$\Rightarrow h(M_1 \vee A_2) \leq h(A_1)$$

$$h(A_1 \vee M_2) \leq h(A_2)$$

$$\& M_1 \vee A_2 \cap (A_1 \vee M_2) \supseteq A_1 \vee A_2,$$

$\therefore h(M_1 \vee M_2) \geq h(A_1 \vee A_2)$

$\& M_1 \cup A_2 \cap (A_1 \cup M_2) \supseteq A_1 \cup A_2$ ,  
which is false

$$\Rightarrow h(M) \leq h(M_1 \cup A_2) \\ h(A_1 \cup M_2) \leq 0.$$

□

Digression on the defn.

For  $A \in M_n(\mathbb{C})$  s.a., define

$$\|A\|_2^2 = \sum_{j=1}^n \text{tr}(A_j^* A_j)$$

Given  $\Omega \subseteq \mathcal{N} \subseteq M_n(\mathbb{C})$  s.a.  $\& \varepsilon > 0$ ,

Say  $\Omega$  is *orbitally  $\varepsilon$ -dense*

in  $\mathcal{N}$  if  $\forall A \in \mathcal{N} \exists B \in \Omega$   
&  $U \in \mathcal{U}(n)$  s.t.

$$\|A - U^* B U\|_2 < \varepsilon.$$

Let  $K_\varepsilon^{\text{orb}}(\mathcal{N}) =$  minimal cardinality  
of an orbitally  $\varepsilon$ -dense  
subset of  $\mathcal{N}$ . Define

$$h_\varepsilon(\mathcal{O}) = \lim_{n \rightarrow \infty} \frac{1}{n} \log K_\varepsilon^{\text{orb}}(\mathcal{N})$$

$$h(x) = \sup_{\varepsilon > 0} \inf_{\mathcal{O}} h_\varepsilon(\mathcal{O})$$

where the inf is over

all  $n$ -neighborhoods of  $\mathcal{L}_X$ .

Exercise\*: Use Voiculescu's

Asymptotic Freeness Theorem to  
prove  $h(s) = +\infty$  if

prove  $h(S) = +\infty$  if  
 $S = (S_1, \dots, S_n)$  are free  
 Semicirculars.

It will be helpful to know  
 that  $\exists C > 0$  s.t.  $n(n)$   
 has an  $\epsilon$ -dense set  
 with respect to operator  
 norm of cardinality  $\leq \left(\frac{C}{\epsilon}\right)^{n^2}$

Thm [H. -] Jekel - Kunnawalkam  
 [Lagavalli]

If  $M$  is a Property (T)  
 factor or if  $M = L(G)$   
 $G$  Property (T)  $\Rightarrow$   
 $h(L(G)) < +\infty$ .

In particular if  $M_{j,j-1/2}$   
 are diffuse &  $h(M_j) \geq 0$   
 $\Rightarrow M_1 * M_2 \neq N_1 \vee N_2$   
 where  $N_j$  are either (T) or  
 amenable  
 &  $N_1 \vee N_2$  is diffuse.

Generalizes previous work  
 of Voiculescu, Ge, Shn, /  
 Ge-Chen. T.

- ... ..  
Ge-Shen, Jung-Shyakhateko,  
Jung, Shyakhateko.