

New hyperfinite subfactors with infinite depth

Julio Cáceres

Vanderbilt University

August 17, 2023

Joint work with Dietmar Bisch

Motivation

- 1 What are all hyperfinite subfactors $N \subset M$ with small index?
- 2 What is $\{[M : N], N \subset M \text{ hyperfinite}, N' \cap M = \mathbb{C}\}$?

Motivation

- 1 What are all hyperfinite subfactors $N \subset M$ with small index?
- 2 What is $\{[M : N], N \subset M \text{ hyperfinite}, N' \cap M = \mathbb{C}\}$?

Current landscape:

- $[M : N] \leq 4$: ADE classification
- $4 < [M : N]$: Completely classified finite depth up to index 5.25. (Small index classification)

Motivation

- 1 What are all hyperfinite subfactors $N \subset M$ with small index?
- 2 What is $\{[M : N], N \subset M \text{ hyperfinite}, N' \cap M = \mathbb{C}\}$?

Current landscape:

- $[M : N] \leq 4$: ADE classification
- $4 < [M : N]$: Completely classified finite depth up to index 5.25. (Small index classification)
- $4 < [M : N] < 5$: There are only 5 finite depth subfactors.

Motivation

- 1 What are all hyperfinite subfactors $N \subset M$ with small index?
- 2 What is $\{[M : N], N \subset M \text{ hyperfinite}, N' \cap M = \mathbb{C}\}$?

Current landscape:

- $[M : N] \leq 4$: ADE classification
- $4 < [M : N]$: Completely classified finite depth up to index 5.25. (Small index classification)
- $4 < [M : N] < 5$: There are only 5 finite depth subfactors.

Conjecture

Every index of a hyperfinite finite depth irreducible subfactor is the index of a hyperfinite irreducible A_∞ subfactor.

Commuting squares

- $E_{A_{1,0}}E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

$$\begin{array}{ccc}
 & & K \\
 A_{1,0} & \subset & A_{1,1} \\
 \cup_G & & \cup_L \\
 A_{0,0} & \subset & A_{0,1} \\
 & & H
 \end{array}$$

Commuting squares

- $E_{A_{1,0}}E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

$$\begin{array}{ccccc}
 & & K & & \\
 A_{1,0} & \subset & A_{1,1} & \subset & A_{1,2} \\
 \cup_G & & \cup_L & & \cup \\
 A_{0,0} & \subset & A_{0,1} & \subset & A_{0,2} \\
 & & H & &
 \end{array}$$

Commuting squares

- $E_{A_{1,0}}E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

$$\begin{array}{ccccccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots & \subset & A_{1,\infty} \\
 \cup_G & & \cup_L & & \cup & & & & \cup \\
 A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots & \subset & A_{0,\infty}
 \end{array}$$

Commuting squares

- $E_{A_{1,0}}E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

$$\begin{array}{ccccccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots & \subset & A_{1,\infty} \\
 \cup_G & & \cup_L & & \cup & & & & \cup \\
 A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots & \subset & A_{0,\infty}
 \end{array}$$

We like these because:

- $[A_{1,\infty} : A_{0,\infty}] = \|G\|^2 = \|L\|^2$
- Always hyperfinite
- Irreducible if G (or L) satisfy Wenzl's criterion

Commuting squares

- $E_{A_{1,0}}E_{A_{0,1}} = E_{A_{0,0}}$ (Commuting square)
- $GK = HL$ and $G^tH = KL^t$ (non-degenerate)

$$\begin{array}{ccccccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} & \subset & A_{1,2} & \subset & \cdots & \subset & A_{1,\infty} \\
 \cup_G & & \cup_L & & \cup & & & & \cup \\
 A_{0,0} & \overset{H}{\subset} & A_{0,1} & \subset & A_{0,2} & \subset & \cdots & \subset & A_{0,\infty}
 \end{array}$$

We like these because:

- $[A_{1,\infty} : A_{0,\infty}] = \|G\|^2 = \|L\|^2$
- Always hyperfinite
- Irreducible if G (or L) satisfy Wenzl's criterion

Lots of examples constructed in [Sch13].

Embedding theorem

Using Ocneanu's compactness, some facts about Pimsner-Popa basis and loop algebra formulas from [JP11] we prove the following:

Theorem

Let P_\bullet be the subfactor planar algebra associated to $A_{0,\infty} \subset A_{1,\infty}$ and $\text{GPA}(G)_\bullet$ the graph planar algebra associated to the Bratelli diagram of $A_{0,0} \subset A_{1,0}$. Then P_\bullet embeds into $\text{GPA}(G)_\bullet$.

Fusion graphs and embeddings

Another embedding theorem:

Theorem (GMPPS'18)

Suppose P_\bullet is a finite depth subfactor planar algebra. Let \mathcal{C} denote the unitary multifusion category of projections in P_\bullet , with distinguished object $X = \text{id}_{1,+} \in P_{1,+}$, and the standard unitary pivotal structure with respect to X . There is an equivalence between:

- 1** *Planar algebra embeddings $P_\bullet \rightarrow \text{GPA}(G)_\bullet$, where $\text{GPA}(G)_\bullet$ is the graph planar algebra associated to a finite connected bipartite graph G , and*
- 2** *indecomposable finitely semisimple pivotal left \mathcal{C} -module C^* categories \mathcal{M} whose fusion graph with respect to X is G .*

Main Idea

Let $N \subset M$ be a finite depth hyperfinite subfactor with unitary multifusion category \mathcal{C} and $\{A_{ij}, i, j = 0, 1\}$ a commuting square.

If G isn't a fusion graph for any ${}_{\mathcal{C}}\mathcal{M}$, then $A_{0,\infty} \subset A_{1,\infty}$ isn't isomorphic to $N \subset M$.

Main Idea

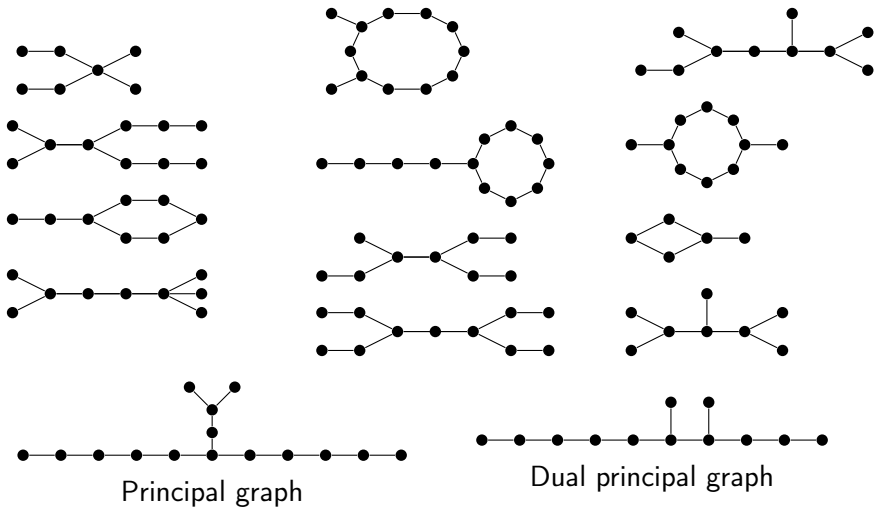
Let $N \subset M$ be a finite depth hyperfinite subfactor with unitary multifusion category \mathcal{C} and $\{A_{ij}, i, j = 0, 1\}$ a commuting square.

If G isn't a fusion graph for any ${}_{\mathcal{C}}\mathcal{M}$, then $A_{0,\infty} \subset A_{1,\infty}$ isn't isomorphic to $N \subset M$.

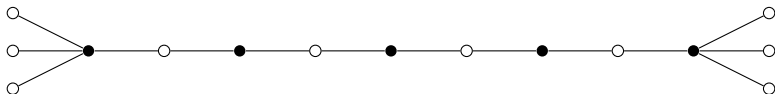
We know a lot about the left \mathcal{C} -module C^* categories \mathcal{M} when \mathcal{C} comes from:

- [Pet10]: Haagerup subfactor (3 graphs)
- [GMP⁺18]: Extended Haagerup subfactor (4 graphs)
- [GS16] & [GIS18]: Asaeda-Haagerup subfactor (14 graphs)

Fusion graphs for Asaeda-Haagerup

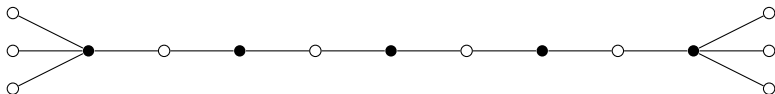


Double brooms

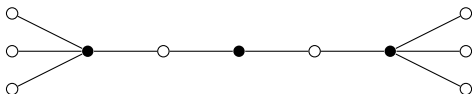


Large double broom - $\| \cdot \| ^2 = \frac{5+\sqrt{17}}{2}$

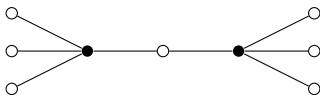
Double brooms



Large double broom - $\|\cdot\|^2 = \frac{5+\sqrt{17}}{2}$



Medium double broom - $\|\cdot\|^2 = 3 + \sqrt{3}$



Small double broom - $\|\cdot\|^2 = 5$

Bi-unitary connections

$$\begin{array}{ccc}
 A_{1,0} & \begin{array}{c} \mathbb{K} \\ \subset \\ \cup_G \end{array} & A_{1,1} \\
 & \text{c.s} & \\
 A_{0,0} & \begin{array}{c} \mathbb{H} \\ \subset \\ \cup_G \end{array} & A_{0,1}
 \end{array}
 \Leftrightarrow
 \begin{array}{l}
 \text{Existence of a unitary } u \\
 \text{satisfying the bi-unitary} \\
 \text{condition}
 \end{array}$$

Bi-unitary connections

$$\begin{array}{ccc}
 A_{1,0} & \overset{K}{\subset} & A_{1,1} \\
 \cup_G & \text{c.s} & \cup_G \\
 A_{0,0} & \overset{H}{\subset} & A_{0,1}
 \end{array}
 \Leftrightarrow
 \begin{array}{l}
 \text{Existence of a unitary } u \\
 \text{satisfying the bi-unitary} \\
 \text{condition}
 \end{array}$$

That is $u = \bigoplus_{(p,s)} u^{(p,s)}$ and $v = \bigoplus_{(q,r)} v^{(q,r)}$ such that

- $u^{(p,s)} = \left(u_{q,r}^{(p,s)} \right)_{q,r}$
- $v^{(q,r)} = \left(v_{p,s}^{(q,r)} \right)_{p,s}$
- $v_{p,s}^{(q,r)} = \sqrt{\frac{\lambda(p)\eta(s)}{\lambda(q)\eta(r)}} (u_{q,r}^{(p,s)})^t$

Main result

Theorem

If G is one of the double brooms, there exist H and K for which we can construct a bi-unitary connection.

Main result

Theorem

If G is one of the double brooms, there exist H and K for which we can construct a bi-unitary connection.

In particular, we have constructed an irreducible hyperfinite subfactor with infinite depth and index $\frac{5+\sqrt{17}}{2}$ (the same as Asaeda-Haagerup), by classification it has to have trivial standard invariant.

Remark

Unlike the commuting squares in [Sch13], K is never a polynomial in G^tG .

Another approach

- In [Kaw23] it is proven that given a finite depth subfactor $N \subset M$, there are only countably many non-equivalent commuting squares associated to it.
- By classification of small index subfactors we have finitely many finite depth subfactors at the indices $\frac{5+\sqrt{17}}{2}$, $3 + \sqrt{3}$, $\frac{5+\sqrt{21}}{2}$, 5 and $3 + \sqrt{5}$.

More connections!

Let $G = S(i, i, j, j)$, the 4-star with two pairs of legs of equal length. It's been proved in [Sch13] that there exists bi-unitary connections for inclusions of the form:

$$\begin{array}{ccc}
 A_{1,0} & \overset{G^t}{\subset} & A_{1,1} \\
 \cup_G & & \cup_{G^t} \\
 A_{0,0} & \overset{G}{\subset} & A_{0,1}
 \end{array}$$

More connections!

Let $G = S(i, i, j, j)$, the 4-star with two pairs of legs of equal length. It's been proved in [Sch13] that there exists bi-unitary connections for inclusions of the form:

$$\begin{array}{ccc} A_{1,0} & \overset{G^t}{\subset} & A_{1,1} \\ \cup_G & & \cup_{G^t} \\ A_{0,0} & \overset{G}{\subset} & A_{0,1} \end{array}$$

We proved there exists a 1-parameter family of bi-unitary connections for all i, j !

Indices of $S(i, i, j, j)$

$j \backslash i$	1	2	3	4	...	∞
1	4					
2	$\frac{5+\sqrt{17}}{2}$	5				
3	$3 + \sqrt{3}$	5.1249	$3 + \sqrt{5}$			
4	$\frac{5+\sqrt{21}}{2}$	5.1642	5.2703	$\frac{7+\sqrt{13}}{2}$		
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots	
∞	$2 + 2\sqrt{2}$	5.1844	5.2870	5.3184		$\frac{16}{3}$

Hence we have infinite depth at $\frac{5+\sqrt{17}}{2}$, $3 + \sqrt{3}$, $\frac{5+\sqrt{21}}{2}$, 5 and $3 + \sqrt{5}$. All but the last must have A_∞ standard invariant.

Future work

- Can we construct a hyperfinite A_∞ subfactor with index $4.3772\dots$ (Extended Haagerup index)?
- Are the A_∞ subfactors obtained from the Large double broom and $S(1, 1, 2, 2)$ the same?
- Are all the infinite depth subfactors coming from a 1-parameter family of connections the same?

References I



Pinhas Grossman, Masaki Izumi, and Noah Snyder.

The asaeda–haagerup fusion categories.

Journal für die reine und angewandte Mathematik (Crelles Journal), 2018(743):261–305, 2018.



Pinhas Grossman, Scott Morrison, David Penneys, Emily Peters, and Noah Snyder.

The extended Haagerup fusion categories.

arXiv preprint arXiv:1810.06076, 2018.

References II



Pinhas Grossman and Noah Snyder.

The brauer-picard group of the asaeda-haagerup fusion categories.

Transactions of the American Mathematical Society,
368(4):2289–2331, 2016.



Vaughan FR Jones and David Penneys.

The embedding theorem for finite depth subfactor planar algebras.

Quantum Topology, 2(3):301–337, 2011.

References III



Yasuyuki Kawahigashi.

A characterization of a finite-dimensional commuting square producing a subfactor of finite depth.

International Mathematics Research Notices,
2023(10):8419–8433, 2023.



Emily Peters.

A planar algebra construction of the Haagerup subfactor.

International Journal of Mathematics, 21(08):987–1045, 2010.

References IV



John Kehlet Schou.

Commuting squares and index for subfactors.

arXiv preprint arXiv:1304.5907, 2013.